

# INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULARSEBI/ HO/ CFD/ DCR2/ P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021

## **Category Wise Investor Complaint Data**

# I. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/SME-Data for month ending November 2023 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received During the particula rmonth | Resolved During the particula rmonth* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\(in<br>days) |
|------|-------------------------------|---|--------------------------------------|---------------------------------------|---|------------------------------|---|
| 1.   | Directly from Investors       | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                              |
| 2.   | SEBI (SCORES)                 | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                              |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                              |
| 4.   | Other Sources(if any)         | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                              |
|      | Grand Total                   | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                              |

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month              | Carried<br>forward from<br>previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|--------------------|---|--|--|--|
| 1.   | July 2023          | Nil                                       | Nil  | Nil  | Nil  |
| 2.   | August 2023        | Nil                                       | Nil  | Nil  | Nil  |
| 3.   | September 2023     | Nil                                       | Nil  | Nil  | Nil  |
| 4.   | October 2023       | Nil                                       | Nil  | Nil  | Nil  |
| 5.   | November 2023      | Nil                                       | Nil  | Nil  | Nil  |
|      | <b>Grand Total</b> | -   | -  | •  | -  |

| S.N. | Year               | Carried       | Received Resolved during |                | Pending at the end |
|------|--------------------|---------------|--------------------------|----------------|--------------------|
|      |                    | forward from  | during the               | the particular | of the particular  |
|      |                    | previous year | particular year          | year           | year               |
| 1.   | 2021               | Nil           | Nil                      | Nil            | Nil                |
| 2.   | 2022               | Nil           | Nil                      | Nil            | Nil                |
| 3.   | 2023               | Nil           | Nil                      | Nil            | Nil                |
| 4.   | 2024               | +             | +                        | +              | +                  |
| 5.   | 2025               | +             | +                        | +              | +                  |
|      | <b>Grand Total</b> | -             | -                        | -              | •                  |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



## II. Rights Issue:

# Data for month ending November 2023 is as follows:

| S.N. | Received From       | Pending   | Received   | Resolved   | Total      | Pending    | Average          |
|------|---------------------|-----------|------------|------------|------------|------------|------------------|
|      |                     | as at the | During     | During     | pending    | complaints | Resolution       |
|      |                     | end of    | the        | the        | During the | > 1 month  | time^\ (in days) |
|      |                     | last      | particular | particular | particular |            |                  |
|      |                     | month     | month      | month*     | month #    |            |                  |
| 1.   | Directly from       | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
|      | Investors           |           |            |            |            |            |                  |
| 2.   | SEBI (SCORES)       | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
| 3.   | Stock Exchanges (if | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
|      | relevant)           |           |            |            |            |            |                  |
| 4.   | Other Sources       | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
|      | (if any)            |           |            |            |            |            |                  |
|      | Grand Total         | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried<br>forward from<br>previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|----------------|---|--|--|--|
| 1.   | July 2023      | Nil                                       | Nil  | Nil  | Nil  |
| 2.   | August 2023    | Nil                                       | Nil  | Nil  | Nil  |
| 3.   | September 2023 | Nil                                       | Nil  | Nil  | Nil  |
| 4.   | October 2023   | Nil                                       | Nil  | Nil  | Nil  |
| 5.   | November 2023  | Nil                                       | Nil  | Nil  | Nil  |
|      | Grand Total    | -   | -  | -  | -  |

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>Of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | +  | +   | +   | +   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



#### III. Qualified Institutional Placement (QIPs)

#### Data for month ending November 2023 is as follows:

| S.N. | Received From       | Pending   | Received   | Resolved   | Total      | Pending    | Average          |
|------|---------------------|-----------|------------|------------|------------|------------|------------------|
|      |                     | as at the | During     | During     | pending    | complaints | Resolution       |
|      |                     | end of    | the        | the        | During the | > 1 month  | time^\ (in days) |
|      |                     | last      | particular | particular | particular |            |                  |
|      |                     | month     | month      | month*     | month #    |            |                  |
| 1.   | Directly from       | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
|      | Investors           |           |            |            |            |            |                  |
| 2.   | SEBI (SCORES)       | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
| 3.   | Stock Exchanges (if | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
|      | relevant)           |           |            |            |            |            |                  |
| 4.   | Other Sources       | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
|      | (if any)            |           |            |            |            |            |                  |
|      | Grand Total         | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried<br>forward from<br>previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|----------------|---|--|--|--|
| 1.   | July 2023      | Nil                                       | Nil  | Nil  | Nil  |
| 2.   | August 2023    | Nil                                       | Nil  | Nil  | Nil  |
| 3.   | September 2023 | Nil                                       | Nil  | Nil  | Nil  |
| 4.   | October 2023   | Nil                                       | Nil  | Nil  | Nil  |
| 5.   | November 2023  | Nil                                       | Nil  | Nil  | Nil  |
|      | Grand Total    | -   | -  | -  | -  |

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | +  | +   | +   | +   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



# IV. Preferential Issue

## Data for month ending November 2023 is as follows:

| S.N. | Received From       | Pending as at the | Received<br>During  | Resolved<br>During   | Total pending         | Pending complaints | Average<br>Resolution |
|------|---------------------|-------------------|---------------------|----------------------|-----------------------|--------------------|-----------------------|
|      |                     | end of            | the                 | the                  | During the            | > 1 month          | time^\ (in days)      |
|      |                     | last<br>Month     | particular<br>month | particular<br>month* | particular<br>month # |                    |                       |
| 1.   | Directly from       | Nil               | Nil                 | Nil                  | Nil                   | Nil                | Not Applicable        |
|      | Investors           |                   |                     |                      |                       |                    |                       |
| 2.   | SEBI (SCORES)       | Nil               | Nil                 | Nil                  | Nil                   | Nil                | Not Applicable        |
| 3.   | Stock Exchanges (if | Nil               | Nil                 | Nil                  | Nil                   | Nil                | Not Applicable        |
|      | relevant)           |                   |                     |                      |                       |                    |                       |
| 4.   | Other Sources       | Nil               | Nil                 | Nil                  | Nil                   | Nil                | Not Applicable        |
|      | (if any)            |                   |                     |                      |                       |                    | -                     |
|      | Grand Total         | Nil               | Nil                 | Nil                  | Nil                   | Nil                | Not Applicable        |

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month              | Carried<br>forward from<br>previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|--------------------|---|--|--|--|
| 1.   | July 2023          | Nil                                       | Nil  | Nil  | Nil  |
| 2.   | August 2023        | Nil                                       | Nil  | Nil  | Nil  |
| 3.   | September 2023     | Nil                                       | Nil  | Nil  | Nil  |
| 4.   | October 2023       | Nil                                       | Nil  | Nil  | Nil  |
| 5.   | November 2023      | Nil                                       | Nil  | Nil  | Nil  |
|      | <b>Grand Total</b> | -   | -  | -  | -  |

| S.N. | Year               | Carried       | Received        | Resolved during | Pending at the end |
|------|--------------------|---------------|-----------------|-----------------|--------------------|
|      |                    | forward from  | during the      | the particular  | of the particular  |
|      |                    | previous year | particular year | year            | year               |
| 1.   | 2021               | Nil           | Nil             | Nil             | Nil                |
| 2.   | 2022               | Nil           | Nil             | Nil             | Nil                |
| 3.   | 2023               | Nil           | Nil             | Nil             | Nil                |
| 4.   | 2024               | +             | +               | +               | +                  |
| 5.   | 2025               | +             | +               | +               | +                  |
|      | <b>Grand Total</b> | -             | -               | -               | -                  |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



## V. Buyback of Securities

## Data for month ending November 2023 is as follows:

| S.N. | Received From       | Pending as at the | Received<br>During | Resolved<br>During | Total pending         | Pending complaints | Average<br>Resolution |
|------|---------------------|-------------------|--------------------|--------------------|-----------------------|--------------------|-----------------------|
|      |                     | end of<br>last    | the<br>particular  | the<br>particular  | During the particular | > 1 month          | time^\ (in days)      |
|      |                     | Month             | month              | month*             | month #               |                    |                       |
| 1.   | Directly from       | Nil               | Nil                | Nil                | Nil                   | Nil                | Not Applicable        |
|      | Investors           |                   |                    |                    |                       |                    |                       |
| 2.   | SEBI (SCORES)       | Nil               | Nil                | Nil                | Nil                   | Nil                | Not Applicable        |
| 3.   | Stock Exchanges (if | Nil               | Nil                | Nil                | Nil                   | Nil                | Not Applicable        |
|      | relevant)           |                   |                    |                    |                       |                    |                       |
| 4.   | Other Sources       | Nil               | Nil                | Nil                | Nil                   | Nil                | Not Applicable        |
|      | (if any)            |                   |                    |                    |                       |                    | ·                     |
|      | Grand Total         | Nil               | Nil                | Nil                | Nil                   | Nil                | Not Applicable        |

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | forward from the particular previous month month |     | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|----------------|--|-----|--|--|
| 1.   | July 2023      | Nil  | Nil | Nil  | Nil  |
| 2.   | August 2023    | Nil  | Nil | Nil  | Nil  |
| 3.   | September 2023 | Nil  | Nil | Nil  | Nil  |
| 4.   | October 2023   | Nil  | Nil | Nil  | Nil  |
| 5.   | November 2023  | Nil  | Nil | Nil  | Nil  |
|      | Grand Total    | -  | -   | -  | -  |

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>Year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | 4   | 4   | Nil   |
| 2.   | 2022        | Nil                                      | 1   | 1   | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | +  | +   | +   | +   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | 5   | 5   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



- Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



## VI. Delisting of Securities

## Data for month ending November 2023 is as follows:

| S.N. | Received From       | Pending as at the | Received<br>During | Resolved<br>During | Total pending | Pending complaints | Average<br>Resolution |
|------|---------------------|-------------------|--------------------|--------------------|---------------|--------------------|-----------------------|
|      |                     | end of            | the                | the                | During the    | > 1 month          | time^\ (in days)      |
|      |                     | last              | particular         | particular         | particular    |                    |                       |
|      |                     | Month             | month              | month*             | month #       |                    |                       |
| 1.   | Directly from       | Nil               | Nil                | Nil                | Nil           | Nil                | Not Applicable        |
|      | Investors           |                   |                    |                    |               |                    |                       |
| 2.   | SEBI (SCORES)       | Nil               | Nil                | Nil                | Nil           | Nil                | Not Applicable        |
| 3.   | Stock Exchanges (if | Nil               | Nil                | Nil                | Nil           | Nil                | Not Applicable        |
|      | relevant)           |                   |                    |                    |               |                    |                       |
| 4.   | Other Sources       | Nil               | Nil                | Nil                | Nil           | Nil                | Not Applicable        |
|      | (if any)            |                   |                    |                    |               |                    | ·                     |
|      | Grand Total         | Nil               | Nil                | Nil                | Nil           | Nil                | Not Applicable        |

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried<br>forward from<br>previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|----------------|---|--|--|--|
| 1.   | July 2023      | Nil                                       | Nil  | Nil  | Nil  |
| 2.   | August 2023    | Nil                                       | Nil  | Nil  | Nil  |
| 3.   | September 2023 | Nil                                       | Nil  | Nil  | Nil  |
| 4.   | October 2023   | Nil                                       | Nil  | Nil  | Nil  |
| 5.   | November 2023  | Nil                                       | Nil  | Nil  | Nil  |
|      | Grand Total    | -   | -  | -  | -  |

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | +  | +   | +   | +   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



## VII. Substantial Acquisition of Shares & Takeovers

#### Data for month ending November 2023 is as follows:

| S.N. | Received From       | Pending   | Received   | Resolved   | Total      | Pending    | Average          |
|------|---------------------|-----------|------------|------------|------------|------------|------------------|
|      |                     | as at the | During     | During     | pending    | complaints | Resolution       |
|      |                     | end of    | the        | the        | During the | > 1 month  | time^\ (in days) |
|      |                     | last      | particular | particular | particular |            |                  |
|      |                     | month     | month      | month*     | month #    |            |                  |
| 1.   | Directly from       | Nil       | Nil        | Nil        | Nil        | Nil        | Within 30 Days   |
|      | Investors           |           |            |            |            |            |                  |
| 2.   | SEBI (SCORES)       | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
| 3.   | Stock Exchanges (if | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
|      | relevant)           |           |            |            |            |            |                  |
| 4.   | Other Sources       | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |
|      | (if any)            |           |            |            |            |            |                  |
|      | Grand Total         | Nil       | Nil        | Nil        | Nil        | Nil        | Not Applicable   |

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.<br>N. | Month          | Carried<br>forward from<br>previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----------|----------------|---|--|--|--|
| 1.       | July 2023      | Nil                                       | Nil  | Nil  | Nil  |
| 2.       | August 2023    | Nil                                       | Nil  | Nil  | Nil  |
| 3.       | September 2023 | Nil                                       | Nil  | Nil  | Nil  |
| 4.       | October 2023   | Nil                                       | Nil  | Nil  | Nil  |
| 5.       | November 2023  | Nil                                       | Nil  | Nil  | Nil  |
|          | Grand Total    | =   | -  | -  | =  |

| S.N. | Year               | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|--------------------|--|---|---|---|
| 1.   | 2021               | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022               | Nil                                      | 3   | 3   | Nil   |
| 3.   | 2023               | Nil                                      | 1   | 1   | Nil   |
| 4.   | 2024               | +  | +   | +   | +   |
| 5.   | 2025               | +  | +   | +   | +   |
|      | <b>Grand Total</b> | -  | 4   | 4   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed