

# INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULARSEBI/ HO/ CFD/ DCR2/ P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021

## **Category Wise Investor Complaint Data**

I. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/SME Data for month ending November, 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular	Resolved during the particular	Pending at the end of the particular
		from previous month	month	month *	month #
1.	July, 2022	Nil	Nil	Nil	Nil
2.	August, 2022	Nil	Nil	Nil	Nil
3.	September, 2022	Nil	Nil	Nil	Nil
4.	October, 2022	Nil	Nil	Nil	Nil
5.	November, 2022	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	Grand Total	-	-	-	-

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.



## II. Rights Issue:

## Data for month ending November 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	July, 2022	Nil	Nil	Nil	Nil
2.	August, 2022	Nil	Nil	Nil	Nil
3.	September, 2022	Nil	Nil	Nil	Nil
4.	October, 2022	Nil	Nil	Nil	Nil
5.	November,	Nil	Nil	Nil	Nil
	2022				
	<b>Grand Total</b>	•	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end Of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	Grand Total	-	-	-	-

- Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



#### III. Qualified Institutional Placement (QIPs)

#### Data for month ending November 2022 is as follows:

S.N.	Received From	Pending as at the end of last	Received During the particular	Resolved During the particular	Total pending During the particular	Pending complaints > 1 month	Average Resolution time^\ (in days)
		month	month	month*	month #		
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	July, 2022	Nil	Nil	Nil	Nil
2.	August, 2022	Nil	Nil	Nil	Nil
3.	September, 2022	Nil	Nil	Nil	Nil
4.	October, 2022	Nil	Nil	Nil	Nil
5.	November,	Nil	Nil	Nil	Nil
	2022				
	<b>Grand Total</b>	-	-	-	-

S.N.	Year	Carried	Received	Resolved during	Pending at the end
		forward from	during the	the particular	of the particular
		previous year	particular year	year	year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand Total</b>	-	-	-	=

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



#### IV. Preferential Issue

## Data for month ending November 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	July, 2022	Nil	Nil	Nil	Nil
2.	August, 2022	Nil	Nil	Nil	Nil
3.	September, 2022	Nil	Nil	Nil	Nil
4.	October, 2022	Nil	Nil	Nil	Nil
5.	November,	Nil	Nil	Nil	Nil
	2022				
	Grand Total	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand Total</b>	-	-	-	-

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



#### V. Buyback of Securities

#### Data for month ending November 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	1*	1*	Nil	Nil	Within 30 Days
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>(\*)</sup> Certain information regarding the issue was sought by the stock exchange.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward	Received during	Resolved during	Pending at the end
		from previous month	the particular	the particular	of the particular
			month	month *	month #
1.	July, 2022	Nil	Nil	Nil	Nil
2.	August, 2022	Nil	Nil	Nil	Nil
3.	September, 2022	Nil	Nil	Nil	Nil
4.	October, 2022	Nil	Nil	Nil	Nil
5.	November,	Nil	1*	1*	Nil
	2022				
	Grand Total	-	1*	1*	-

<sup>(\*)</sup> Certain information regarding the issue was sought by the stock exchange.

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular Year	Pending at the end of the particular year
1.	2021	Nil	4	4	Nil
2.	2022	Nil	1	1	Nil
3.	2023	+	+	+	+

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand Total</b>	-	5	5	-

- Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- $* \qquad \textit{Inclusive of complaints of previous months resolved in the current month}.$
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



## VI. Delisting of Securities

## Data for month ending November 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular	Resolved during the particular	Pending at the end of the particular
			month	month *	month #
1.	July, 2022	Nil	Nil	Nil	Nil
2.	August, 2022	Nil	Nil	Nil	Nil
3.	September, 2022	Nil	Nil	Nil	Nil
4.	October, 2022	Nil	Nil	Nil	Nil
5.	November, 2022	Nil	Nil	Nil	Nil
	Grand Total	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



Grand Total
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- Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



#### VII. Substantial Acquisition of Shares & Takeovers

#### Data for month ending November 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	1	Nil	1	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	1	Nil	1	Nil	Not Applicable

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	July, 2022	Nil	Nil	Nil	Nil
2.	August, 2022	Nil	Nil	Nil	Nil
3.	September, 2022	Nil	1	1	Nil
4.	October, 2022	Nil	Nil	Nil	Nil
5.	November, 2022	Nil	1	Nil	1
	Grand Total	-	2	1	1

S.N.	Year	Carried	Received	Resolved during	Pending at the end
		forward from previous vear	during the particular year	the particular vear	of the particular vear
1.	2021	Nil	Nil	Nil	Nil
2.	2022	-	2	2	-
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand Total</b>	1	2	2	-

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed