

# INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULARSEBI/ HO/ CFD/ DCR2/ P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021

## **Category Wise Investor Complaint Data**

#### I. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/SME

Data for month ending March 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	Nil	Nil	Nil	Nil
2.	February, 2022	Nil	Nil	Nil	Nil
3.	March, 2022	Nil	Nil	Nil	Nil
4.	April, 2022	+	+	+	+
5.	May, 2022	+	+	+	+
	Grand Total	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	Grand Total	-	-	-	-

- Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



## II. Rights Issue:

## Data for month ending March 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	Nil	Nil	Nil	Nil
2.	February, 2022	Nil	Nil	Nil	Nil
3.	March, 2022	Nil	Nil	Nil	Nil
4.	April, 2022	+	+	+	+
5.	May, 2022	+	+	+	+
	Grand Total	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end Of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	Grand Total	-	-	-	-

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



## III. Qualified Institutional Placement (QIPs)

#### Data for month ending March 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	Nil	Nil	Nil	Nil
2.	February, 2022	Nil	Nil	Nil	Nil
3.	March, 2022	Nil	Nil	Nil	Nil
4.	April, 2022	+	+	+	+
5.	May, 2022	+	+	+	+
	Grand Total	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	Grand Total	-	-	-	-

- Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- $* \qquad \textit{Inclusive of complaints of previous months resolved in the current month}.$
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



#### IV. Preferential Issue

## Data for month ending March 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	Nil	Nil	Nil	Nil
2.	February, 2022	Nil	Nil	Nil	Nil
3.	March, 2022	Nil	Nil	Nil	Nil
4.	April, 2022	+	+	+	+
5.	May, 2022	+	+	+	+
	Grand Total	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	Grand Total	-	-	-	=

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



## V. Buyback of Securities

## Data for month ending March 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	Nil	Nil	Nil	Nil
2.	February, 2022	Nil	Nil	Nil	Nil
3.	March, 2022	Nil	Nil	Nil	Nil
4.	April, 2022	+	+	+	+
5.	May, 2022	+	+	+	+
	Grand Total	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	4	4	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	Grand Total	-	-	-	-

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



## VI. Delisting of Securities

## Data for month ending March 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	Nil	Nil	Nil	Nil
2.	February, 2022	Nil	Nil	Nil	Nil
3.	March, 2022	Nil	Nil	Nil	Nil
4.	April, 2022	+	+	+	+
5.	May, 2022	+	+	+	+
	Grand Total	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	Grand Total	-	-	-	-

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



## VII. Substantial Acquisition of Shares & Takeovers

## Data for month ending March 2022 is as follows:

S.N.	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	Nil	Nil	Nil	Nil
2.	February, 2022	Nil	Nil	Nil	Nil
3.	March, 2022	Nil	Nil	Nil	Nil
4.	April, 2022	+	+	+	+
5.	May, 2022	+	+	+	+
	Grand Total	-	-	-	-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	Grand Total	-	-	-	-

- A Verage Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed