

# INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULARSEBI/ HO/ CFD/ DCR2/ P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021

#### **Category Wise Investor Complaint Data**

I. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/SME Data for month ending December, 2022 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received During the particular month | Resolved During the particular month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--------------------------------------|---------------------------------------|---|------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 4.   | Other Sources (if any)        | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month              | Carried forward from previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|--------------------|-------------------------------------|--|--|--|
| 1.   | August, 2022       | Nil                                 | Nil  | Nil  | Nil  |
| 2.   | September, 2022    | Nil                                 | Nil  | Nil  | Nil  |
| 3.   | October, 2022      | Nil                                 | Nil  | Nil  | Nil  |
| 4.   | November, 2022     | Nil                                 | Nil  | Nil  | Nil  |
| 5.   | December,<br>2022  | Nil                                 | Nil  | Nil  | Nil  |
|      | <b>Grand Total</b> | -                                   | -  | -  | -  |

| S.N. | Year        | Carried       | Received        | Resolved during | Pending at the end |
|------|-------------|---------------|-----------------|-----------------|--------------------|
|      |             | forward from  | during the      | the particular  | of the particular  |
|      |             | previous year | particular year | year            | year               |
| 1.   | 2021        | Nil           | Nil             | Nil             | Nil                |
| 2.   | 2022        | Nil           | Nil             | Nil             | Nil                |
| 3.   | 2023        | +             | +               | +               | +                  |
| 4.   | 2024        | +             | +               | +               | +                  |
| 5.   | 2025        | +             | +               | +               | +                  |
|      | Grand Total | -             | -               | -               | -                  |

- A Verage Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



#### II. Rights Issue:

#### Data for month ending December 2022 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received During the particular month | Resolved During the particular month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--------------------------------------|---------------------------------------|---|------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 4.   | Other Sources (if any)        | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month           | Carried forward from previous month | Received during the particular | Resolved during the particular | Pending at the end of the particular |
|------|-----------------|-------------------------------------|--------------------------------|--------------------------------|--------------------------------------|
|      |                 | -                                   | month                          | month *                        | month #                              |
| 1.   | August, 2022    | Nil                                 | Nil                            | Nil                            | Nil                                  |
| 2.   | September, 2022 | Nil                                 | Nil                            | Nil                            | Nil                                  |
| 3.   | October, 2022   | Nil                                 | Nil                            | Nil                            | Nil                                  |
| 4.   | November,       | Nil                                 | Nil                            | Nil                            | Nil                                  |
|      | 2022            |                                     |                                |                                |                                      |
| 5.   | December,       | Nil                                 | Nil                            | Nil                            | Nil                                  |
|      | 2022            |                                     |                                |                                |                                      |
|      | Grand Total     | -                                   | -                              | -                              | •                                    |

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>Of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | +  | +   | +   | +   |
| 4.   | 2024        | +  | +   | +   | +   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | -   |

- Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



#### III. Qualified Institutional Placement (QIPs)

#### Data for month ending December 2022 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received During the particular month | Resolved During the particular month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--------------------------------------|---------------------------------------|---|------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 4.   | Other Sources (if any)        | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month             | Carried forward from previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|-------------------|-------------------------------------|--|--|--|
| 1.   | August, 2022      | Nil                                 | Nil  | Nil  | Nil  |
| 2.   | September, 2022   | Nil                                 | Nil  | Nil  | Nil  |
| 3.   | October, 2022     | Nil                                 | Nil  | Nil  | Nil  |
| 4.   | November, 2022    | Nil                                 | Nil  | Nil  | Nil  |
| 5.   | December,<br>2022 | Nil                                 | Nil  | Nil  | Nil  |
|      | Grand Total       | -                                   | •  | -  | -  |

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | +  | +   | +   | +   |
| 4.   | 2024        | +  | +   | +   | +   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



#### IV. Preferential Issue

#### Data for month ending December 2022 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received During the particular month | Resolved During the particular month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--------------------------------------|---------------------------------------|---|------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 4.   | Other Sources (if any)        | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month              | Carried forward from previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|--------------------|-------------------------------------|--|--|--|
| 1.   | August, 2022       | Nil                                 | Nil  | Nil  | Nil  |
|      | September, 2022    | · ·                                 | Nil  | Nil  | Nil  |
| 3.   | October, 2022      | Nil                                 | Nil  | Nil  | Nil  |
| 4.   | November,          | Nil                                 | Nil  | Nil  | Nil  |
|      | 2022               |                                     |  |  |  |
| 5.   | December,          | Nil                                 | Nil  | Nil  | Nil  |
|      | 2022               |                                     |  |  |  |
|      | <b>Grand Total</b> | -                                   | -  | -  | -  |

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | +  | +   | +   | +   |
| 4.   | 2024        | +  | +   | +   | +   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



#### V. Buyback of Securities

# Data for month ending December 2022 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received During the particular month | Resolved During the particular month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--------------------------------------|---------------------------------------|---|------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Within 30 Days                            |
| 4.   | Other Sources (if any)        | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month             | Carried forward from previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month | Pending at the end<br>of the particular<br>month # |
|------|-------------------|-------------------------------------|--|--|--|
| 1.   | August, 2022      | Nil                                 | Nil  | Nil  | Nil  |
| 2.   | September, 2022   | Nil                                 | Nil  | Nil  | Nil  |
| 3.   | October, 2022     | Nil                                 | Nil  | Nil  | Nil  |
| 4.   | November,<br>2022 | Nil                                 | 1  | 1  | Nil  |
| 5.   | December,<br>2022 | Nil                                 | Nil  | Nil  | Nil  |
|      | Grand Total       | -                                   | 1  | 1  | -  |

| S.N. | Year | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>Year | Pending at the end<br>of the particular<br>year |
|------|------|--|---|---|---|
| 1.   | 2021 | Nil                                      | 4   | 4   | Nil   |
| 2.   | 2022 | Nil                                      | 1   | 1   | Nil   |
| 3.   | 2023 | +  | +   | +   | +   |
| 4.   | 2024 | +  | +   | +   | +   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



| 5. | 2025               | + | + | + | + |
|----|--------------------|---|---|---|---|
|    | <b>Grand Total</b> | - | 5 | 5 | - |

- Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



#### VI. Delisting of Securities

#### Data for month ending December 2022 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received During the particular month | Resolved During the particular month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--------------------------------------|---------------------------------------|---|------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 4.   | Other Sources (if any)        | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month             | Carried forward from previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|-------------------|-------------------------------------|--|--|--|
| 1.   | August, 2022      | Nil                                 | Nil  | Nil  | Nil  |
| 2.   | September, 2022   | Nil                                 | Nil  | Nil  | Nil  |
| 3.   | October, 2022     | Nil                                 | Nil  | Nil  | Nil  |
| 4.   | November,<br>2022 | Nil                                 | Nil  | Nil  | Nil  |
| 5.   | December,<br>2022 | Nil                                 | Nil  | Nil  | Nil  |
|      | Grand Total       | -                                   | -  | -  | -  |

| S.N. | Year | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|------|--|---|---|---|
| 1.   | 2021 | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022 | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023 | +  | +   | +   | +   |
| 4.   | 2024 | +  | +   | +   | +   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed



| 5. | 2025        | + | + | + | + |
|----|-------------|---|---|---|---|
|    | Grand Total | - | - | - | - |

- Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
- \* Inclusive of complaints of previous months resolved in the current month.
- # Inclusive of complaints pending as on the last day of the month.
- + The relevant period has not been completed



# VII. Substantial Acquisition of Shares & Takeovers

#### Data for month ending December 2022 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received During the particular month | Resolved During the particular month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--------------------------------------|---------------------------------------|---|------------------------------|---|
| 1.   | Directly from<br>Investors    | 1   | 1                                    | 2                                     | Nil   | Nil                          | Within 30 days                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
| 4.   | Other Sources (if any)        | Nil   | Nil                                  | Nil                                   | Nil   | Nil                          | Not Applicable                            |
|      | Grand Total                   | 1   | 1                                    | 2                                     | Nil   | Nil                          | Not Applicable                            |

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month              | Carried forward from previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|--------------------|-------------------------------------|--|--|--|
| 1.   | August, 2022       | Nil                                 | Nil  | Nil  | Nil  |
| 2.   | September, 2022    | Nil                                 | 1  | 1  | Nil  |
| 3.   | October, 2022      | Nil                                 | Nil  | Nil  | Nil  |
| 4.   | November, 2022     | Nil                                 | 1  | Nil  | 1  |
| 5.   | December,<br>2022  | 1                                   | 1  | 2  | Nil  |
|      | <b>Grand Total</b> | 1                                   | 3  | 3  | 1  |

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | -  | 3   | 3   | -   |
| 3.   | 2023        | +  | +   | +   | +   |
| 4.   | 2024        | +  | +   | +   | +   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | 3   | 3   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

<sup>+</sup> The relevant period has not been completed